

March, 2025

Sustainable Finance Disclosure Regulation – Article 5

Remuneration

Guggenheim Securities is authorised to provide investment advice under the European Union (Markets in Financial Instruments) Regulations 2017. As a financial adviser, we do not manage financial products or portfolios, nor do we offer products that promote environmental or social characteristics or have sustainable investment as their objective. The Firm as a result, applies the requirements under SFDR, in a proportionate manner taking due account of the nature, scale and complexity of the Firm.

Guggenheim Securities' Remuneration Policy promotes sound and effective risk management. Where sustainability risks are relevant to a client transaction and assessed as part of investment advice provided to clients, and this will be factored into the determination of variable remuneration for relevant staff.

This disclosure will be reviewed and updated as necessary to reflect any changes in our services, regulatory requirements, or industry practices. The latest version of this disclosure will always be available on our website.