

March, 2025

Sustainable Finance Disclosure Regulation – Article 3(2) Disclosure

Statement on transparency of sustainability risk policies

Introduction

The Sustainable Finance Disclosure Regulation (“SFDR”) requires Guggenheim Securities Europe Limited (“Guggenheim Securities” or the “Firm”) to disclose specific information regarding its approach to the integration of sustainability risks and consideration of adverse sustainability impacts.

Investment Advice

Guggenheim Securities is authorised to provide investment advice under the European Union (Markets in Financial Instruments) Regulations 2017.

As a financial adviser, we do not manage financial products or portfolios, nor do we offer products that promote environmental or social characteristics or have sustainable investment as their objective.

The Firm as a result, applies the requirements under SFDR, in a proportionate manner taking due account of the nature, scale and complexity of the Firm.

Review and Updates

This disclosure will be reviewed and updated as necessary to reflect any changes in our services, regulatory requirements, or industry practices. The latest version of this disclosure will always be available on our website.